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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/584,960	David Threadgill	421/99 PCT/US
25297	INTERNATIONAL APPLICATION NO.	
JENKINS, WILSON, TAYLOR & HUNT, P. A. Suite 1200 UNIVERSITY TOWER 3100 TOWER BLVD., DURHAM, NC 27707	PCT/US05/04968	
	I.A. FILING DATE	PRIORITY DATE
	02/17/2005	02/03/2004

CONFIRMATION NO. 3931  
371 FORMALITIES LETTER



OC000000035100655

Date Mailed: 03/20/2009

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 06/30/2006
- Copy of the International Search Report filed on 06/30/2006
- Information Disclosure Statements filed on 07/31/2008
- Oath or Declaration filed on 11/04/2008
- Biochemical Sequence Listing filed on 06/30/2006
- U.S. Basic National Fees filed on 06/30/2006
- Priority Documents filed on 06/30/2006
- Specification filed on 06/30/2006
- Claims filed on 06/30/2006
- Abstracts filed on 06/30/2006
- Drawings filed on 06/30/2006
- Paper nucleotide sequence listings filed on 06/30/2006

MAR 25 2009

Applicant's response filed 11/04/2008 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 09/23/2008 have not been completed.

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

page 1 of 2

DOCKET DATES: 4/23/09  
ASSIGNED ATTY: PAT  
FILE NO. 421/99 PCT/US  
DOCKETED BY: CAB DATE: 3/26/09

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

**For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:**

- **For Rules Interpretation, call (571) 272-0951**
- **For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

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For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

PAULETTE R KIDWELL

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Telephone: (703) 308-9140 EXT 216

The U.S. Patent and Trademark  
Office date stamp sets forth the  
date of receipt of:

Attorney: AAT/shb  
Docket No.: 421/99 PCT/US  
Inventor(s): Threadgill et al.  
Serial No.:

Title: MODULATION OF EPIDERMAL GROWTH FACTOR HETERODIMER  
ACTIVITY

**10/584960**

The following items mailed to the U.S. Patent and Trademark Office on the date indicated  
below:

**JAP15 Rec'd PCT/PTO 30 JUN 2006**

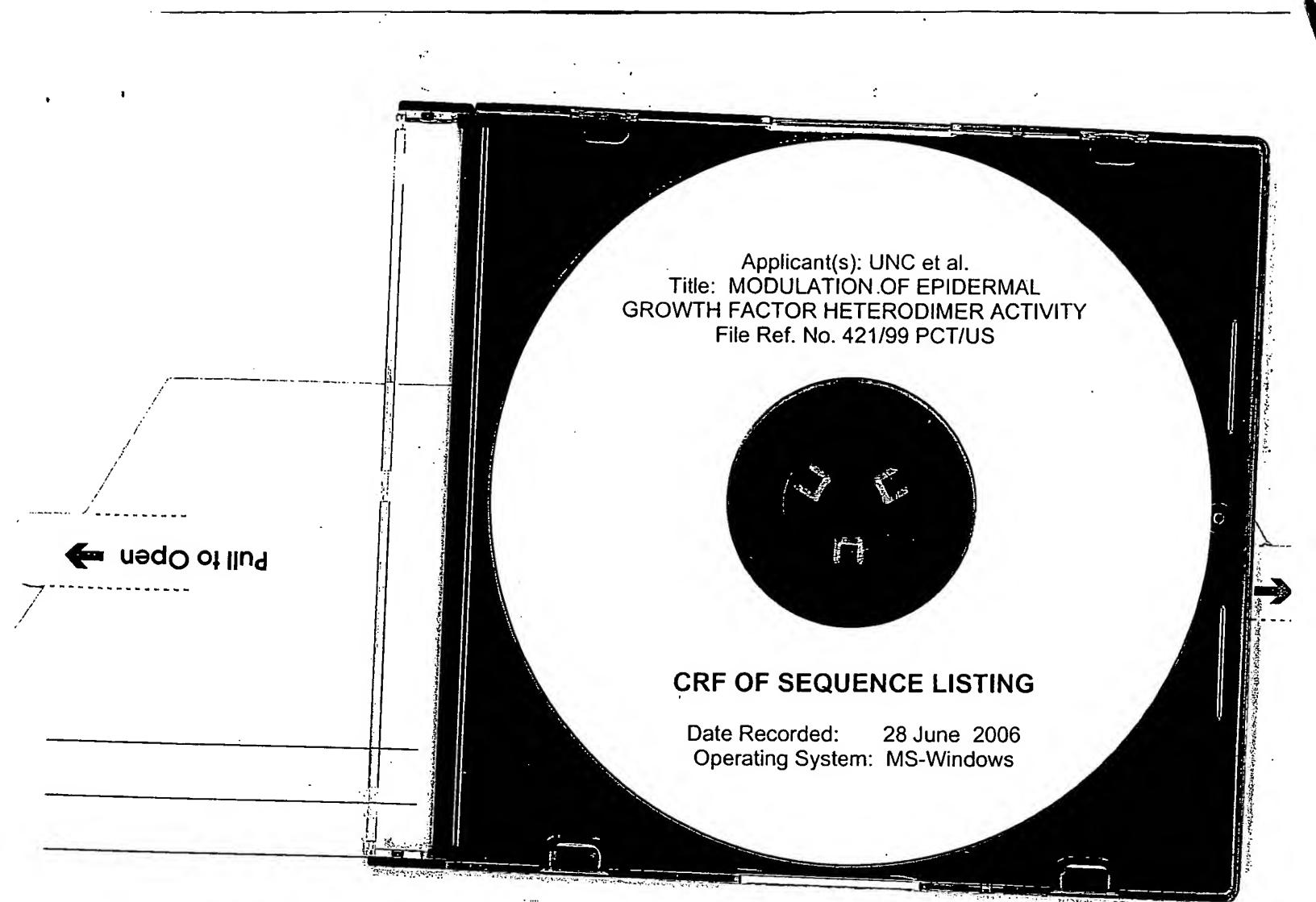
1. Transmittal Letter to the United States Designated Office (DO/EO/US)  
Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390, in duplicate)
2. Unsigned Declaration (2 pgs.)
3. Sequence Listing in Computer Readable Form
4. Sequence Listing on Paper (108 pages)
5. Statement Regarding Identity of Copies of Sequence Listing
6. Check in the amount of \$750.00
7. Return-Receipt Postcard

Express Mail Label No.: EV851590175US

Large Entity  
 Small Entity

Date Transmitted: June 30, 2006

*JUL 21 2006*



Applicant(s): UNC et al.  
Title: MODULATION OF EPIDERMAL  
GROWTH FACTOR HETERODIMER ACTIVITY  
File Ref. No. 421/99 PCT/US

CRF OF SEQUENCE LISTING

Date Recorded: 28 June 2006  
Operating System: MS-Windows

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